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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE: Application of Herve Jean-Clement COSTE et al.

Serial No.: 09/936,506

Art Unit: 1635

Filing Date: December 20, 2001

Examiner:

For: *Method to Improve Translation of  
Polypeptides by Using Untranslated  
Regions from Heat-shock Proteins*

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Applicants request that the references identified on Form PTO-1449 appended hereto be considered by the Examiner and officially made of record in accordance with the provisions of 37 CFR 1.97

- ☒ Copies of the references listed on the attached Form PTO-1449 as item nos. 3-28 are enclosed on CD.  
☐ Copies of the references were submitted in parent application Serial No. \_\_\_\_\_. (37 CFR 1.98(d))  
☐ A copy of the International Search Report which issued on International Application No. \_\_\_\_\_ is submitted herewith. All of the publications cited in the International Search Report are listed on the attached form PTO-1449 and Applicants understand that copies have been supplied to the U.S. Patent Office by the International Bureau.

- A. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing date of the above application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. 37 CFR 1.97(b).

OR

- ☐ The Information Disclosure Statement submitted herewith is being filed before the mailing of a first office action after the filing of a Request For Continued Examination under 37 C.F.R. 1.114 (37 C.F.R. 1.97(b)(4)).

- B. ☐ The Information Disclosure Statement transmitted herewith is being filed **after** three months of the filing date of the above application or the date of entry into the national stage as set forth in § 1.491 of an international application or after the mailing date of the first Office Action on the merits, whichever event occurred last, but **before** the mailing date of either:
- (1) a final action under § 1.113 or
  - (2) a notice of allowance under § 1.311,
- whichever occurs first.

CERTIFICATE OF MAILING (37 CFR 1.8)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450.

Date: November 10, 2003

Marjorie J. Pfeiffer  
Marjorie J. Pfeiffer

- ☐ Applicant hereby certifies that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ Applicant elects the option to pay the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under § 1.97(c) (\$180.00).
- C. ☐ The Information Disclosure Statement transmitted herewith is being filed **after** a final action under § 1.113, or a notice of allowance under § 1.311, whichever occurs first, but before the payment of the issue fee. Also enclosed is a copy of the International Search Report which Issued on International Publication No.

In accordance with the requirements of 37 CFR 1.97(d):

- ☐ Applicant hereby certifies that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement. **[or]**
- ☐ Applicant hereby certifies that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to my knowledge after making reasonable inquiry, no item of information contained in this Information Disclosure Statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of this statement; and
- ☐ The petition fee set forth in § 1.17(i)(1) (\$180.00) is submitted herewith.
- ☒ Please charge any required fees to Deposit Account No.07-1392.
- ☐ A duplicate copy of this paper is attached.

Respectfully Submitted,



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| <b>FORM PTO-1449</b><br><b>INFORMATION DISCLOSURE STATEMENT</b>   |     |  |                  | <b>ATTORNEY DOCKET NO.</b><br>PF3623USw             |                 | <b>SERIAL NO.</b><br>09/936,506 |                            |
|   |     |  |                  | <b>APPLICANT</b><br>Herve Jean-Clement COSTE et al. |                 |                                 |                            |
|   |     |  |                  | <b>FILING DATE</b><br>December 20, 2001             |                 | <b>GROUP</b><br>1635            |                            |
| <b>U.S. PATENT DOCUMENTS</b>  |     |  |                  |   |                 |                                 |                            |
| Examiner Initials   |     | Patent Number  | Issue Date       | Name  | Class           | Subclass                        | Filing Date If Appropriate |
|   | 1.  | 5,371,015  | 12/06/1994       | Sanford et al.                                      |                 |                                 |                            |
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| <b>FOREIGN PATENT DOCUMENTS</b>   |     |  |                  |   |                 |                                 |                            |
|   |     | Document Number  | Publication Date | Country   | Class           | Subclass                        | Translation Yes   No       |
|   | 3.  | WO 88/00239  | 01/14/1988       | WIPO  |                 |                                 |                            |
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|   | 4.  | Bonner et al., "The use of promoter fusions in Drosophila genetics: isolation of mutations affecting the heat shock response," <i>Cell</i> 37:979-991 (Jul. 1984).         |                  |   |                 |                                 |                            |
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|   | 6.  | DiNocera et al., "Transient expression of genes introduced into cultured cells of <i>Drosophila</i> ," <i>Proc. Natl. Acad. Sci. USA</i> 80:7095-7098 (Dec. 1983).         |                  |   |                 |                                 |                            |
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| EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant. |     |  |                  |   |                 |                                 |                            |

**FORM PTO-1449**  
**INFORMATION DISCLOSURE STATEMENT**
**ATTORNEY DOCKET NO.**  
 PF3623USw

**SERIAL NO.**  
 09/936,506

**APPLICANT**  
 Herve Jean-Clement COSTE et al.

**FILING DATE**  
 December 20, 2001

**GROUP**  
 1635

**U.S. PATENT DOCUMENTS**

| Examiner<br>Initials |  | Patent<br>Number | Issue Date | Name | Class | Subclass | Filing Date<br>If<br>Appropriate |
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EXAMINER

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